

Quickstep: A Data Platform Based on the Scaling-Up Approach*

Jignesh M. Patel, Harshad Deshmukh, Jianqiao Zhu, Navneet Potti,
Zuyu Zhang, Marc Spehlmann, Hakan Memisoglu, Saket Saurabh
Computer Sciences Department
University of Wisconsin – Madison

{jignesh, harshad, jianqiao, nav, zuyu, spehlmann, memisoglu, ssaurabh}@cs.wisc.edu

ABSTRACT

Modern servers pack enough storage and computing power that just a decade ago was spread across a modest-sized cluster. This paper presents a prototype system, called Quickstep, to exploit the large amount of parallelism that is packed inside modern servers. Quickstep builds on a vast body of previous methods for organizing data, optimizing, scheduling and executing queries, and brings them together in a single system. Quickstep also includes new query processing methods that go beyond previous approaches. To keep the project focused, the project’s initial target is read-mostly in-memory data warehousing workloads in single-node settings. In this paper, we describe the design and implementation of Quickstep for this target application space. We also present experimental results comparing the performance of Quickstep to a number of other systems, demonstrating that Quickstep is often faster than many other contemporary systems, and in some cases faster by orders-of-magnitude. Quickstep is an Apache (incubating) project.

PVLDB Reference Format:

Jignesh M. Patel, Harshad Deshmukh, Jianqiao Zhu, Navneet Potti, Zuyu Zhang, Marc Spehlmann, Hakan Memisoglu, and Saket Saurabh. Quickstep: A Data Platform Based on the Scaling-Up Approach. *PVLDB*, 11 (6): xxxx-yyyy, 2018.
DOI: <https://doi.org/10.14778/3184470.3184471>

1. INTRODUCTION

Query processing systems today face a host of challenges that were not as prominent just a few years ago. A key change has been dramatic changes in the hardware landscape that is driven by the need to consider energy as a first-class (hardware) design parameter. Across the entire processor-IO hierarchy, the hardware paradigm today looks very different than it did just a few years ago. Consequently, we are now experiencing a growing *deficit* between the pace of hardware performance improvements and the pace that is demanded of data processing kernels to keep up with the growth in data volumes.

*The Quickstep project code lives in the Apache repository at: <https://github.com/apache/incubator-quickstep>.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Articles from this volume were invited to present their results at The 44th International Conference on Very Large Data Bases, August 2018, Rio de Janeiro, Brazil.

Proceedings of the VLDB Endowment, Vol. 11, No. 6
Copyright 2018 VLDB Endowment 2150-8097/18/02.
DOI: <https://doi.org/10.14778/3184470.3184471>

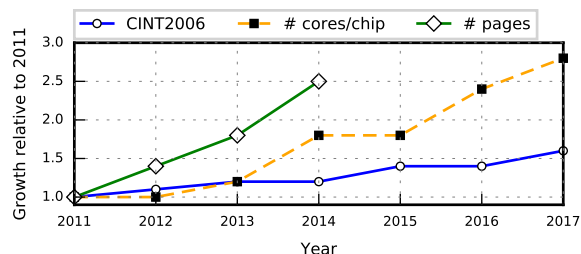


Figure 1: Processor performance improvement as measured by the highest reported CINT2006 benchmark result for Intel Xeon chips from [60] compared to the number of pages indexed by Google (using estimates made by [61]). The figure does not show the increase in the number of queries (which is about 2.5X for Google search queries from 2011–14), and the increase in the complexity of queries as applications request richer analytics. These aspects make the deficit problem worse. The figure also shows the maximum number of cores per chip used in reported CINT2006 results over time. Interestingly (and not shown in the figure), both the minimum and the average amount of memory per chip in the reported CINT2006 results has grown by $\approx 4X$ from 2011 to 2017.

Figure 1 illustrates this deficit issue by comparing improvements in processor performance (blue line) with the growth rate of data (green line), using the number of pages indexed by Google as an illustrative example. This data growth rate is conservative for many organizations, which tend to see a far higher rate of increase in the data volume; for example, Facebook’s warehouse grew by 3X in 2014 [46]. This figure also shows (using a dotted orange line with squares) the growth in the number of cores per processor over time. As one can observe, the number of cores per processor is rising rapidly. In addition, since 2011 the main memory sizes are also growing rapidly, and there is an increasing shift to larger main memory configurations. Thus, there is a critical need for in-memory data processing methods that *scale-up* to exploit the full (parallel) processing power that is locked in commodity multi-core servers today. Quickstep targets this need, and in this paper we describe the initial version of Quickstep that targets single-node in-memory read-mostly analytic workloads.

To pay off the deficit, Quickstep uses mechanisms that allow for *high intra-operator parallelism*. Such mechanisms are critical to exploit the full potential of the high level of hardware compute parallelism that is present in modern servers (the dotted orange line in Figure 1). Unlike most research database management systems (DBMSs), Quickstep has a storage manager with a block layout,

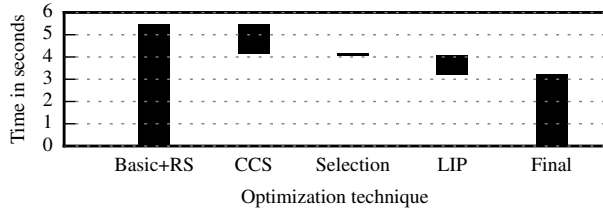


Figure 2: A waterfall chart showing the impact of various techniques in Quickstep for query 10 from the TPC-H benchmark running on a 100 scale factor database. RS (Row Store), and CCS (Compressed Column Store) are both supported in Quickstep (see Section 3.1). Basic and Selection are template metaprogramming optimizations (described in Section 3.3), which relate to the efficiency of predicate and expression evaluation. LIP (Lookahead Information Passing, described in Section 5.3) is a technique to improve join performance. Starting with a configuration (Basic + RS), each technique is introduced one at a time to show the individual impact of each technique on this query.

where each block behaves like a mini self-contained database [13]. This “independent” block-based storage design is leveraged by a highly parallelizable query execution paradigm in which independent *work orders* are generated at the block level. Query execution then amounts to creating and scheduling work orders, which can be done in a generic way. Thus, the scheduler is a crucial system component, and the Quickstep scheduler cleanly separates scheduling policies from the underlying scheduling mechanisms. This separation allows the system to elastically scale the resources that are allocated to queries, and to adjust the resource allocations dynamically to meet various policy-related goals.

Recognizing that random memory access patterns and materialization costs often dominate the execution time in main-memory DBMSs, Quickstep uses a number of query processing techniques that take the “drop early, drop fast” approach: eliminating redundant rows as early as possible, as fast as possible. For instance, Quickstep aggressively pushes down complex disjunctive predicates involving multiple tables using a predicate over-approximation scheme. Quickstep also uses cache-efficient filter data structures to pass information across primary key-foreign key equijoins, eliminating semi-joins entirely in some cases.

Overall, the key contributions of this paper are as follows:

Cohesive collection of techniques: We present the first end-to-end design for Quickstep. This system brings together in a single artifact a number of mechanisms for in-memory query processing such as support for multiple storage formats, a template metaprogramming approach to both manage the software complexity associated with supporting multiple storage formats and to evaluate expressions on data in each storage format efficiently, and novel query optimization techniques. The impact of each mechanism depends on the workload, and our system brings these mechanisms together as a whole. For example, the waterfall chart in Figure 2 shows the contributions of various techniques on the performance of TPC-H Query 10.

Novel query processing techniques: We present Quickstep’s use of techniques to aggressively push down complex disjunctive predicates involving multiple relations, as well as to eliminate certain types of equijoins using *exact filters*.

Manageability: The design of the system focuses on ease-of-use, paying attention to a number of issues, including employing methods such as using a holistic approach to memory management, and elastically scaling query resource usage at runtime to gracefully deal with concurrent queries with varying query priorities.

Comparison with other systems: We also conduct an end-to-end evaluation comparing Quickstep with a number of other systems. These systems are: Spark [4, 67], PostgreSQL [49], MonetDB [27], and VectorWise [71]. Our results show that in many cases, Quickstep is faster by an order-of-magnitude, or more.

We also leverage the multiple different storage implementations in Quickstep to better understand the end-to-end impact of the popular row store and column store methods on the SSB and TPC-H queries. To the best of our knowledge, an apples-to-apples comparison of these benchmark queries does not exist. We show that overall column stores are still preferred, though the speed up overall is only about 2X. Earlier comparisons, e.g. [2], have been indirect comparisons of this aspect of storage management for the SSB benchmark across two different systems, and show far larger (6X) improvements.

Open source: Quickstep is available as open-source, which we hope helps the reproducibility goal that is being pursued in our community [11, 36, 37]. It also allows other researchers to use this system as a platform when working on problems where the impact of specific techniques can be best studied within the context of the overall system behavior.

The remainder of this paper is organized as follows: The overall Quickstep architecture is presented in the next section. The storage manager is presented in Section 3. The query execution and scheduling methods are presented in Sections 4 and 5 respectively. Empirical results are presented in Section 6, and related work is presented in Section 7. Finally, Section 8 contains our concluding remarks.

2. QUICKSTEP ARCHITECTURE

Quickstep implements a collection of relational algebraic operators, using efficient algorithms for each operation. This “kernel” can be used to run a variety of applications, including SQL-based data analytics (the focus of this paper) and other classes of analytics/machine learning (using the approach outlined in [18, 68]). This paper focuses only on SQL analytics.

2.1 Query Language and Data Model

Quickstep uses a relational data model, and SQL as its query language. Currently, the system supports the following types: INTEGER (32-bit signed), BIGINT/LONG (64-bit signed), REAL/FLOAT (IEEE 754 *binary32*), DOUBLE PRECISION (IEEE 754 *binary64*), fixed-point DECIMAL, fixed-length CHAR strings, variable-length VARCHAR strings, DATETIME/TIMESTAMP (with microsecond resolution), date-time INTERVAL, and year-month INTERVAL.

2.2 System Overview

The internal architecture of Quickstep resembles the architecture of a typical DBMS engine. A distinguishing aspect is that Quickstep has a query scheduler (cf. Section 4.2), which plays a key first-class role allowing for quick reaction to changing workload management (see evaluation in Section 6.9). A SQL *parser* converts the input query into a syntax tree, which is then transformed by an optimizer into a physical plan. The *optimizer* uses a rules-based approach [21] to transform the logical plan into an optimal physical plan. The current optimizer supports projection and selection push-down, and both bushy and left-deep trees.

A *catalog manager* stores the logical and physical schema information, and associated statistics, including table cardinalities, the number of distinct values for each attribute, and the minimum and maximum values for numerical attributes.

A *storage manager* organizes the data into large multi-MB blocks, and is described in Section 3.

An execution plan in Quickstep is a directed acyclic graph (DAG) of relational operators. The execution plan is created by the optimizer, and then sent to the *scheduler*. The scheduler is described in Section 4.

A *relational operator library* contains implementation of various relational operators. Currently, the system has implementations for the following operators: select, project, joins (equijoin, semijoin, antijoin and outerjoin), aggregate, sort, and top-k.

Quickstep implements a hash join algorithm in which the two phases, the build phase and the probe phase, are implemented as separate operators. The build hash table operator reads blocks of the build relation, and builds a single cache-efficient hash table in memory using the join predicate as the key (using the method proposed in [7]). The probe hash table operator reads blocks of the probe relation, probes the hash table, and materializes joined tuples into in-memory blocks. Both the build and probe operators take advantage of block-level parallelism, and use a latch-free concurrent hash table to allow multiple workers to proceed at the same time.

For non-equijoins, a block-nested loops join algorithm is used. The hash join method has also been adapted to support left outer join, left semijoin, and antijoin operations.

For aggregation without `GROUP BY`, local aggregates for each input block are computed, which are then merged to compute the global aggregate. For aggregation with `GROUP BY`, a global latch-free hash table of aggregation handles is built (in parallel), using the grouping columns as the key.

The sort and top-K operators use a two-phase algorithm. In the first phase, each block of the input relation is sorted in-place, or copied to a single temporary sorted block. These sorted blocks are merged in the second (final) phase.

3. STORAGE MANAGER

The Quickstep storage manager [13] is based on a block-based architecture, which we describe next. The storage manager allows a variety of physical data organizations to coexist within the same database, and even within the same table. We briefly outline the block-based storage next.

3.1 Block-Structured Storage

Storage for a particular table in Quickstep is divided into many blocks with possibly different layouts, with individual tuples wholly contained in a single block. Blocks of different sizes are supported, and the default block size is 2 megabytes. On systems that support large virtual-memory pages, Quickstep constrains block sizes to be an exact multiple of the hardware large-page size (e.g. 2 megabytes on x86-64) so that it can allocate buffer pool memory using large pages and make more efficient use of processor TLB entries.

Internally, a block consists of a small *metadata header* (the block's self-description), a single *tuple-storage sub-block* and any number of *index sub-blocks*, all packed in the block's contiguous memory space. There are multiple implementations of both types of sub-blocks, and the API for sub-blocks is generic and extensible, making it easy to add more sub-block types in the future. Both row-stores and column-store formats are supported, and orthogonally these stores can be compressed. See [24] for additional details about the block layouts.

3.2 Compression

Both row store and column store tuple-storage sub-blocks may optionally be used with compression. Quickstep supports two type-specific order-preserving compression schemes: (1) simple ordered dictionary compression for all data types, and (2) leading zeroes truncation for numeric data types. In addition, Quickstep automatically chooses the most efficient compression for each attribute on a per-block basis.

Dictionary compression converts native column values into short integer codes that compare in the same order as the original values. Depending on the cardinality of values in a particular column within a particular block, such codes may require considerably less storage space than the original values. In a row store, compressed attributes require only 1, 2, or 4 bytes in a single tuple slot. In a column store, an entire column stripe consists only of tightly-packed compressed codes. We note that in the column-store case, we could more aggressively pack codes without “rounding up” to the nearest byte, but our experiments have indicated that the more complicated process of reconstructing codes that span across multiple words slows down scans overall when this technique is used. Thus, we currently pack codes at 1, 2, and 4 byte boundaries.

3.3 Template Metaprogramming

As noted above, Quickstep supports a variety of data layouts (row vs. column store, and with and without compression). Each operator algorithm (e.g. scan, select, hash-based aggregate, hash-based join, nested loops join) must work with *each* data layout. From a software development perspective, the complexity of the software development for each point in this design space can be quite high. A naive way to manage this complexity is to use inheritance and dynamic dispatch. However, the run-time overhead of such indirection can have disastrous impact on query performance.

To address this problem, Quickstep uses a template metaprogramming approach to allow efficient access to data in the blocks. This approach is inspired by the principle of zero-cost abstractions exemplified by the design of the C++ standard template library (STL), in which the implementations of containers (such as vectors and maps) and algorithms (like find and sort) are separated from each other.

Quickstep has an analogous design wherein access to data in a sub-block is made via a `ValueAccessor` in combination with a generic functor (usually a short lambda function) that implements the evaluation of some expression or the execution of some operator algorithm. The various `ValueAccessors` and functors have been designed so that the compiler can easily inline calls and (statically) generate compact and efficient inner loops for expression and operator evaluation described in more detail below in Section 3.3.1. Such loops are also amenable to prefetching and SIMD auto-vectorization by the compiler, and potentially (in the future) mappable to data parallel constructs in new hardware. (We acknowledge that there is a complementary role for run-time code generation.) We describe the use of this technique for expression evaluation next.

3.3.1 Expression Evaluation

The `ValueAccessors` (VAs) play a crucial role in efficient evaluation of expressions (e.g. `discount*price`). Figure 3 illustrates how VAs work using as example an expression that is the product of two attributes. There are various compile time optimizations that control the code that is generated for VAs. When using the “Basic” optimization, the VA code makes a physical copy of the attributes that are referenced in the expression. These are steps

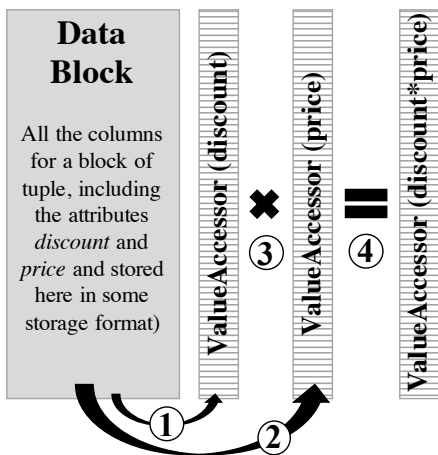


Figure 3: Evaluation of the expression `discount*price`.

1 and 2 in Figure 3. The vector of the two attributes are then multiplied (step 3 in the figure) using a loop unrolled by the compiler (possibly generating SIMD instructions). The output of the expression is another VA object, from which (efficient) copies can be made to the final destination (likely a sub-block in a result block).

When using the “Selection” optimization level, the code that is generated for the VAs uses an indirection to the attributes (regardless of the storage format in the block). Thus, in steps 1 and 2 in Figure 3, the resulting VA “vectors” contain pointers to the actual attributes. These pointers are dereferenced as needed in step 3. With the Selection optimization, copies are avoided, and if the columns are in a columnar store format the VAs are further compacted to simply point to the start of the “vector” in the actual storage block.

To understand the impact of the template metaprogramming approach we compared the code generated by the template metaprogramming (i.e. VA) approach with a standalone program that uses dynamic dispatch to access the attributes. With the dynamic dispatch option, a traditional `getNext()` interface is used to access the attributes in a uniform way regardless of the underlying storage format. For this comparison, we created a table with two integer attributes, set the table cardinality to 100 million tuples, and stored the data in a columnar store format. Then, we evaluated an expression that added both the integer attributes (on the same 2 socket system described in Section 6). The resulting code using the Selection optimization is 3X faster compared to the virtual function approach when using a single thread (when the computation is compute-bound), and drops to 2X when using all the (20) hardware threads when the computation is more memory-bound.

3.4 Holistic Memory Management

The Quickstep storage manager maintains a *buffer pool* of memory that is used to create blocks, and to load them from persistent storage on-demand. Large allocations of unstructured memory are also made from this buffer pool, and are used for shared run-time data structures like hash tables for joins and aggregation operations. These large allocations for run-time data structures are called *blobs*. The buffer pool is organized as a collection of slots, and the slots in the buffer pool (either blocks or blobs) are treated like a larger-sized version of page slots in a conventional DBMS buffer pool.

We note that in Quickstep *all* memory for caching base data, temporary tables, and run-time data structures is allocated and man-

aged by the buffer pool manager. This holistic view of memory management implies that the user does not have to worry about how to partition memory for these different components. The buffer pool employs an eviction policy to determine the pages to cache in memory. Quickstep has a mechanism where different “pluggable” eviction policies can be activated to choose how and when blocks are evicted from memory, and (if necessary) written back to persistent storage if the page is “dirty.” The default eviction policy is LRU-2 [42].

Data from the storage manager can be persisted through a file manager abstraction that currently supports the Linux file system (default), and also HDFS [59].

4. SCHEDULING & EXECUTION

In this section, we describe how the design of the query processing engine in Quickstep achieves three key objectives. First, we believe that separating the control flow and the data flow involved in query processing allows for greater flexibility in reacting to runtime conditions and facilitates maintainability and extensibility of the system. To achieve this objective, the engine separates responsibilities between a scheduler, which makes work scheduling decisions, and workers that execute the data processing kernels (cf. Section 4.1).

Second, to fully utilize the high degree of parallelism offered by modern processors, Quickstep complements its block-based storage design with a work order-based scheduling model (cf. Section 4.2) to obtain high intra-query and intra-operator parallelism.

Finally, to support diverse scheduling policies for sharing resources (such as CPU and memory) between concurrent queries, the scheduler design separates the choice of policies from the execution mechanisms (cf. Section 4.3).

4.1 Threading Model

The Quickstep execution engine consists of a single *scheduler* thread and a pool of *workers*. The scheduler thread uses the query plan to generate and schedule work for the workers. When multiple queries are concurrently executing in the system, the scheduler is responsible for enforcing resource allocation policies across concurrent queries and controlling query admittance under high load. Furthermore, the scheduler monitors query execution progress, enabling status reports as illustrated in Section 6.10.

The workers are responsible for executing the relational operation tasks that are scheduled. Each worker is a single thread that is pinned to a CPU core (possibly a virtual core), and there are as many workers as cores available to Quickstep. The workers are created when the Quickstep process starts, and are kept alive across query executions, minimizing query initialization costs. The workers are stateless; thus, the worker pool can *elastically* grow or shrink dynamically.

4.2 Work Order-based Scheduler

The Quickstep scheduler divides the work for the entire query into a series of *work orders*. In this section, we first describe the work order abstraction and provide a few example work order types. Next, we explain how the scheduler generates work orders for different relational operators in a query plan, including handling of pipelining and internal memory management during query execution.

The optimizer sends to the scheduler an execution query plan represented as a directed acyclic graph (DAG) in which each node is a relational operator. Figure 4 shows the DAG for the example query shown below. Note that the edges in the DAG are annotated

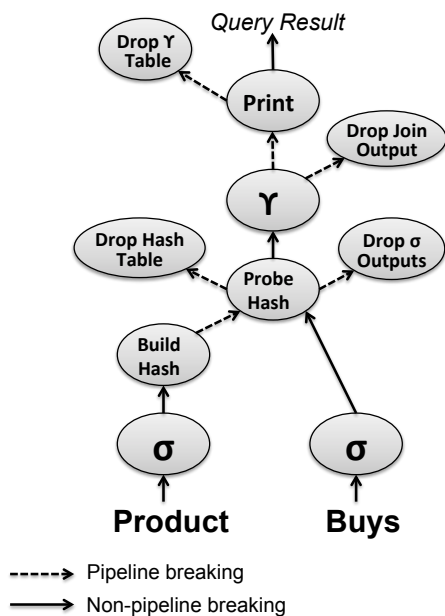


Figure 4: Plan DAG for the sample query

with whether the producer operator is blocking or permits pipelining.

```
SELECT SUM(sales)
FROM   Product P NATURAL JOIN Buys B
WHERE  B.buy_month = 'March'
AND    P.category = 'swim'
```

4.2.1 Work Order

A *work order* is a unit of intra-operator parallelism for a relational operator. Each relational operator in Quickstep describes its work in the form of a set of work orders, which contains references to its inputs and all its parameters. For example, a *selection work order* contains a reference to its input relation, a filtering predicate, and a projection list of attributes (or expressions) as well as a reference to a particular input block. A selection operator generates as many work orders as there are blocks in the input relation. Similarly, a *build hash work order* contains a reference to its input relation, the build key attribute, a hash table reference, and a reference to a single block of the input build relation to insert into the hash table.

4.2.2 Work Order Generation and Execution

The scheduler employs a simple DAG traversal algorithm to activate nodes in the DAG. An active node in the DAG can generate *schedulable* work orders, which can be fetched by the scheduler. In the example query, initially, only the Select operators (shown in Figure 4 using the symbol σ) are active. Operators such as the probe hash and the aggregation operations are initially inactive as their blocking dependencies have not finished execution. The scheduler begins executing this query by fetching work orders for the select operators. Later, other operators will become active as their dependencies are met, and the scheduler will fetch work orders from them.

The scheduler assigns these work orders to available workers, which then execute them. All output is written to temporary storage

blocks. After executing a work order, the worker sends a completion message to the scheduler, which includes execution statistics that can be used to analyze the query execution behavior.

4.2.3 Implementation of Pipelining

In our example DAG (Figure 4), the edge from the Probe hash operator to the Aggregate operator allows for data pipelining. As described earlier, the output of each probe hash work order is written in some temporary blocks. Fully-filled output blocks of probe hash operators can be streamed to the aggregation operator (shown using the symbol γ in the figure). The aggregation operator can generate one work order for each streamed input block that it receives from the probe operator, thereby achieving pipelining.

The design of the Quickstep scheduler separates control flow from data flow. The control flow decisions are encapsulated in the work order scheduling policy. This policy can be tuned to achieve different objectives, such as aiming for high performance, staying with a certain level of concurrency/CPU resource consumption for a query, etc. In the current implementation, the scheduler *eagerly* schedules work orders as soon as they are available.

4.2.4 Output Management

During query execution, intermediate results are written to temporary blocks. To minimize internal fragmentation and amortize block allocation overhead, workers reuse blocks belonging to the same output relation until they become full. To avoid memory pressure, these intermediate relations are dropped as soon as they have been completely consumed (see the Drop σ Outputs operator in the DAG). Hash tables are also freed similarly (see the Drop Hash Table operator). An interesting avenue for future work is to explore whether delaying these Drop operators can allow sub-query reuse across queries.

4.3 Separation of Policy and Mechanism

Quickstep's scheduler supports concurrent query execution. Recall that a query is decomposed into several work orders during execution. These work orders are organized in a data structure called the *Work Order Container*. The scheduler maintains one such container per query. A *single scheduling decision* involves: selection of a query \rightarrow selection of a work order from the container \rightarrow dispatching the work order to a worker thread. When concurrent queries are present, a key aspect of the scheduling decision is to select a query from the set of active concurrent queries, which we describe next.

The selection of a query is driven by a *high level policy*. An example of such a policy is *Fair*. With this policy, in a given time interval, all active queries get an equal proportion of the total CPU cycles across all the cores. Another such policy is *Highest Priority First* (HPF), which gives preference to higher priority queries. (The HPF policy is illustrated later in Section 6.9.) Thus, Quickstep's scheduler consists of a component called the *Policy Enforcer* that transforms the policy specifications in each of the scheduling decisions.

The Policy Enforcer uses a *probabilistic framework* for selecting queries for scheduling decisions. It assigns each query a probability value, which indicates the likelihood of that query being selected in the next scheduling decision. We employ a probabilistic approach because it is attractive from an implementation and debugging perspective (as we only worry about the probability values, which can be adjusted dynamically at anytime, including mid-way through query execution).

The probabilistic framework forms the *mechanism* to realize the high level policies and remains decoupled from the policies. This

design is inspired from the classical *separation of policies from mechanism* principle [31].

A key challenge in implementing the Policy Enforcer lies in transforming the policy specifications to probability values, one for each query. A critical piece of information used to determine the probability values is the prediction of the execution time of the future work order for a query. This information provides the Policy Enforcer some insight into the future resource requirements of the queries in the system. The Policy Enforcer is aware of the current resource allocation to different queries in the system, and using these predictions, it can adjust the future resource allocation with the goal of *enforcing* the specified policy for resource sharing.

The predictions about execution time of future work orders of a query are provided by a component called the *Learning Agent*. It uses a prediction model that takes execution statistics of the past work orders of a query as input and estimates the execution time for the future work orders for the query.

The calculation of the probability values for different policies implemented in Quickstep and their relation with the estimated work order execution time is presented in [17].

To prevent the system from thrashing (e.g. out of memory), a load controller is in-built into the scheduler. During concurrent execution of the queries, the load controller can control the admission of queries into the system and it may suspend resource intensive queries, to ensure resource availability.

Finally, we note that by simply tracking the work orders that are completed, Quickstep can provide a built-in generic query progress monitor (shown in Section 6.10).

5. EFFICIENT QUERY PROCESSING

Quickstep builds on a number of existing query processing methods (as described in Section 2.2). The system also improves on existing methods for specific common query processing patterns. We describe these query processing methods in this section.

Below, we first describe a technique that pushes down certain disjunctive predicates more aggressively than is common in traditional query processing engines. Next, we describe how certain joins can be transformed into cache-efficient semi-joins using *exact filters*. Finally, we describe a technique called *LIP* that uses Bloom filters to speed up the execution of join trees with a star schema pattern.

The unifying theme that underlies these query processing methods is to eliminate redundant computation and materialization using a “drop early, drop fast” approach: aggressively pushing down filters in a query plan to drop redundant rows as early as possible, and using efficient mechanisms to pass and apply such filters to drop them as fast as possible.

5.1 Partial Predicate Push-down

While query optimizers regularly push conjunctive (AND) predicates down to selections, it is difficult to do so for complex, multi-table predicates involving disjunctions (OR). Quickstep addresses this issue by using an optimization rule that pushes down *partial predicates* that conservatively approximate the result of the original predicate.

Consider a complex disjunctive multi-relation predicate P in the form $P = (p_{1,1} \wedge \dots \wedge p_{1,m_1}) \vee \dots \vee (p_{n,1} \wedge \dots \wedge p_{n,m_n})$, where each term $p_{i,j}$ may itself be a complex predicate but depends only on a single relation. While P itself cannot be pushed down to any of the referenced relations (say R), we show how an appropriate relaxation of P , $P'(R)$, can indeed be pushed down and applied at a relation R .

This predicate approximation technique derives from the insight that if any of the terms $p_{i,j}$ in P does not depend on R , it is possible to relax it by replacing it with the tautological predicate \top (i.e., TRUE). Clearly, this technique is only useful if R appears in every conjunctive clause in P , since otherwise P relaxes and simplifies to the trivial predicate \top . So let us assume without loss of generality that R appears in the first term of every clause, i.e., in each $p_{i,1}$ for $i = 1, 2, \dots, n$. After relaxation, P then simplifies to $P'(R) = p_{1,1} \vee p_{1,2} \vee \dots \vee p_{1,n}$, which only references the relation R .

The predicate P' can now be pushed down to R , which often leads to significantly fewer redundant tuples flowing through the rest of the plan. However, since the exact predicate must later be evaluated again, such a partial push down is only useful if the predicate is selective. Quickstep uses a rule-based approach to decide when to push down predicates, but in the future we plan to expand this method to consider a cost-based approach based on estimated cardinalities and selectivities instead.

There is a discussion of join-dependent expression filter push-down technique in [10], but the overall algorithm for generalization, and associated details, are not presented. The partial predicate push-down can be considered a generalization of such techniques.

Note that the partial predicate push down technique is complementary to implied predicates used in SQL Server [38] and Oracle [44]. Implied predicates use statistics from the catalog to add additional filter conditions to the original predicate. Our technique does not add any new predicates, instead it replaces the predicates from another table to TRUE.

5.2 Exact Filters: Join to Semi-join Transformation

A new query processing approach that we introduce in this paper (which, to the best of our knowledge, has not been described before) is to identify opportunities when a join can be transformed to a semi-join, and to then use a fast, cache-efficient semi-join implementation using a succinct bitvector data structure to evaluate the join(s) efficiently. This bitvector data structure is called an *Exact Filter* (EF), and we describe it in more detail below.

To illustrate this technique, consider the SSB [43] query Q4.1 (see Figure 5a). Notice that in this query the `part` table does not contribute any attributes to the join result with `lineorder`, and the primary key constraint guarantees that the `part` table does not contain duplicates of the join key. Thus, we can transform the `lineorder` – `part` join into a semi-join, as shown in Figure 5b. During query execution, after the selection predicate is applied on the `part` table, we insert each resulting value in the join key (`p.partkey`) into an *exact filter*. This filter is implemented as a bitvector, with one bit for each potential `p.partkey` in the `part` table. The size of this bitvector is known during query compilation based on the min-max statistics present in the catalog. (These statistics in the catalog are kept updated for permanent tables even if the data is modified.) The EF is then probed using the `lineorder` table. The `lineorder` – `supplier` join also benefits from this optimization.

The implementation of semi-join operation using EF rather than hash tables improves performance for many reasons. First, by turning insertions and probes into fast bit operations, it eliminates the costs of hashing keys and chasing collision chains in a hash table. Second, since the filter is far more succinct than a hash table, it improves the cache hit ratio. Finally, the predictable size of the filter eliminates costly hash table resize operations that occur when selectivity estimates are poor.

The same optimization rule also transforms anti-joins into semi-anti-joins, which are implemented similarly using EFs.

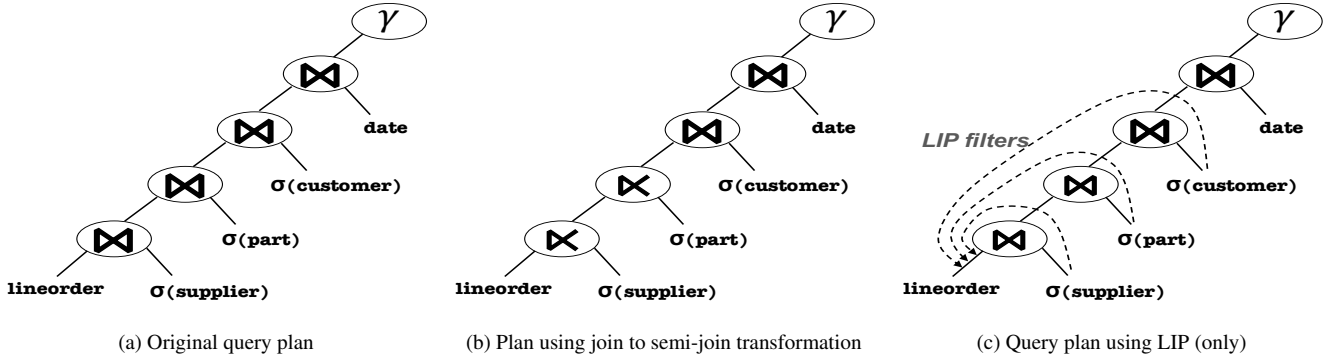


Figure 5: Query plan variations for SSB Query 4.1

5.3 Lookahead Information Passing (LIP)

Quickstep also employs a join processing technique called LIP that combines the “drop early” and “drop fast” principles underlying the techniques we described above. We only briefly discuss this technique here, and refer the reader to related work [70] for more details.

Consider SSB Query 4.1 from Figure 5a again. The running time for this query plan is dominated by the cost of processing the tree of joins. We observe that a `lineorder` row may pass the joins with `supplier` and `part`, only to be dropped by the join with `customer`. Even if we assume that the joins are performed in the optimal order, the original query plan performs redundant hash table probes and materializations for this row. The essence of the LIP technique is to look ahead in the query plan and drop such rows early. In order to do so efficiently, we use *LIP filters*, typically an appropriately-configured Bloom filter [8].

The LIP technique is based on semi-join processing and sideways information passing [5,6,28], but is applied more aggressively and optimized for left-deep hash join trees in the main-memory context. For each join in the join tree, during the hash-table build phase, we insert the build-side join keys into an LIP filter. Then, these filters are all passed to the probe-side table, as shown in Figure 5c. During the probe phase of the hash join, the probe-side join keys are looked up in all the LIP filters prior to probing the hash tables. Due to the succinct nature of the Bloom filters, this LIP filter probe phase is more efficient than hash table probes, while allowing us to drop most of the redundant rows early, effectively pushing down all build-side predicates to the probe-side table scan.

During query optimization, Quickstep first pushes down predicates (including partial push-down described above) and transforms joins to semi-joins. The LIP technique is then used to speed up the remaining joins. Note that our implementation of LIP generalizes beyond the discussion here to also push down filters across other types of joins, as well as aggregations. In addition to its performance benefits, LIP also provably improves robustness to join order selection through the use of an adaptive technique, as discussed in detail in [70].

6. EVALUATION

In this section, we present results from an empirical evaluation comparing Quickstep with other systems. We note that performance evaluation is always a tricky proposition as there are a large number of potential systems to compare with. Our goal here is to compare with popular systems that allow running end-to-end

queries for TPC-H and SSB benchmarks, and pick three popular representative systems that each have different approaches to high performance analytics, and support stand-alone/single node in-memory query execution. We note that a large number of different SQL data platforms have been built over the past four decades, and a comparison of all systems in this ecosystem is beyond the scope of this paper.

The three open-source systems that we use are MonetDB [27], PostgreSQL [49] and Spark [4, 67] and the commercial system is VectorWise [71]. We note that there is a lack of open-source in-memory systems that focus on high-performance on a single node (the focus of this paper). VectorWise and Hyper [30] are newer systems, and though informal claims for them easily outperforming MonetDB can often be heard at conferences, that aspect has never been cataloged before. We hope that using both VectorWise and MonetDB in our study fills part of this gap. We would have liked to try Hyper, as both VectorWise and Hyper represent systems in this space that were designed over the last decade; but as readers may be aware, Hyper is no longer available for evaluation.

Next, we outline our reasons for choosing these systems. MonetDB, is an early column-store database engine that has seen over two decades of development. We also compare with VectorWise, which is a commercial column store system with origins in MonetDB. PostgreSQL is representative of a traditional relational data platform that has had decades to mature, and is also the basis for popular MPP databases like CitusDB [14], GreenPlum [23], and Redshift [55]. We use PostgreSQL v. 9.6.2, which includes about a decade’s worth of work by the community to add intra-query parallelism [50]. We chose Spark as it is an increasingly popular in-memory data platform. Thus, it is instructive just for comparison purposes, to consider the relative performance of Quickstep with Spark. We use Spark 2.1.0, which includes the recent improvements for vectorized evaluation [56].

6.1 Workload

For the evaluation, we use the TPC-H benchmark at scale factor 100 as well as the Star Schema Benchmark (SSB) at scale factors 50 and 100. Both these benchmarks illustrate workloads for decision support systems.

For the results presented below, we ran each query 5 times in succession in the same session. Thus, the first run of the query fetches the required input data into memory, and the subsequent runs are “hot.” We collect these five execution times and report the average of the middle three execution times.

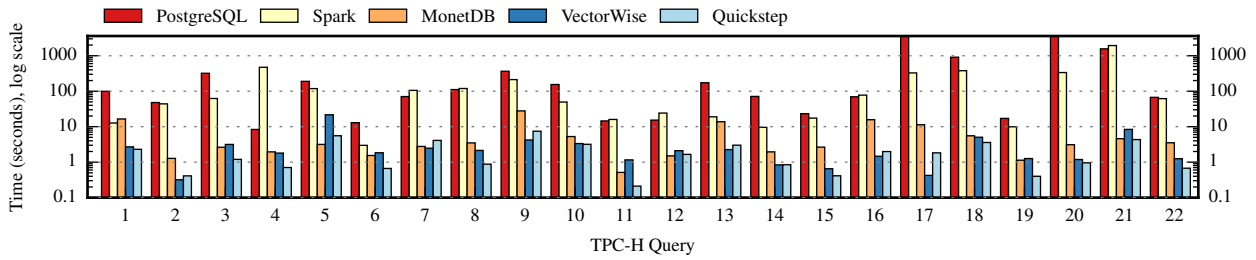


Figure 6: Comparison with TPC-H, scale factor 100. Q17 and Q20 did not finish on PostgreSQL after an hour.

6.2 System Configuration

For the experiments presented below, we use a server that is provisioned as a dedicated “bare-metal” box in a larger cloud infrastructure [57]. The server has two Intel Xeon E5-2660 v3 2.60 GHz (Haswell EP) processors. Each processor has 10 cores and 20 hyper-threading hardware threads. The machine runs Ubuntu 14.04.1 LTS. The server has a total of 160GB ECC memory, with 80GB of directly-attached memory per NUMA node. Each processor has a 25MB L3 cache, which is shared across all the cores on that processor. Each core has a 32KB L1 instruction cache, 32KB L1 data cache, and a 256KB L2 cache.

6.3 System Tuning

Tuning systems for optimal performance is a cumbersome task, and much of the task of tuning is automated in Quickstep. When Quickstep starts, it automatically senses the available memory and grabs about 80% of the memory for its buffer pool. This buffer pool is used for both caching the database and also for creating temporary data structures such as hash tables for joins and aggregates. Quickstep also automatically determines the maximum available hardware parallelism, and uses that to automatically determine and set the right degree of intra-operator and intra-query parallelism. As noted in Section 3.1, Quickstep allows both row-store and column-store formats. These are currently specified by the users when creating the tables, and we find that for optimal performance, in most cases, the fact tables should be stored in (compressed) column store format, and the dimension tables in row-store formats. We use this *hybrid* storage format for the databases in the experiments below.

MonetDB too aims to work without performance knobs. MonetDB however does not have a buffer pool, so some care has to be taken to not run with a database that pushes the edge of the memory limit. MonetDB also has a read-only mode for higher performance, and after the database was loaded, we switched to this mode.

The other systems require some tuning to achieve good performance, as we discuss below.

For VectorWise, we increased the buffer pool size to match the size of the memory on the machine (VectorWise has a default setting of 40 GB). We also set the number of cores and the maximum parallelism level flags to match the number of cores with hyper-threading turned on.

PostgreSQL was tuned to set the degree of parallelism to match the number of hyper-threaded cores in the system. In addition, the shared buffer space was increased to allow the system to cache the entire database in memory. The temporary buffer space was set to about half the shared buffer space. This combination produced the best performance for PostgreSQL.

Spark was configured in standalone mode and queries were issued using Spark-SQL from a Scala program. We set the number of

partitions (`spark.sql.shuffle.partitions`) to the number of hyperthreaded cores. We experimented with various settings for the number of workers and partitions, and used the best combination. This combination was often when the number of workers was a small number like 2 or 4 and the number of partitions was set to the number of hyper-threaded cores.

Unlike the other systems, Spark sometimes picks execution plans that are quite expensive. For example, for the most complex queries in the SSB benchmark (the Q4.X queries), Spark chooses a Cartesian product. As a result, these queries crashed the process when it ran out of memory. We rewrote the `FROM` clause in these queries to enforce a better join order. We report results from these rewritten queries below.

6.4 TPC-H at Scale Factor 100

Figure 6 shows the results for all systems when using the TPC-H dataset at SF 100 (~100GB dataset).

As can be seen in Figure 6, Quickstep far outperforms MonetDB, PostgreSQL and Spark across all the queries, and in many cases by an order-of-magnitude (the y-axis is on a log scale). These gains are due to three key aspects of the design of the Quickstep system: the storage and scheduling model that maximally utilize available hardware parallelism, the template metaprogramming framework that ensures that individual operator kernels run efficiently on the underlying hardware, and the query processing and optimization techniques that eliminate redundant work using cache-efficient data structures. Comparing the total execution time across all the queries in the benchmark, both Quickstep and VectorWise are about **2X** faster than MonetDB and **orders-of-magnitude** faster than Spark and PostgreSQL.

When comparing Quickstep and VectorWise, the total run times for the two systems (across all the queries) is 46s and 70s respectively, making Quickstep ~34% faster than VectorWise. Across each query, there are queries where each system outperforms the other. Given the closed-source nature of VectorWise, we can only speculate about possible reasons for performance differences.

VectorWise is significantly faster (at least 50% speedup) in 3 of the 22 queries. The most common reason for Quickstep’s slowdown is the large cost incurred in materializing intermediate results in queries with deep join trees, particularly query 7. While the use of partial push-down greatly reduced this materialization cost already (by about 6X in query 7, for instance), such queries produce large intermediate results. Quickstep currently does not have an implementation for late materialization of columns in join results [58], which hurts its performance. Quickstep also lacks a fast implementation for joins when the join condition contains non-equality predicates (resulting in 4X slowdown in query 17), as well as for aggregation hash tables with composite, variable-length keys (such as query 10).

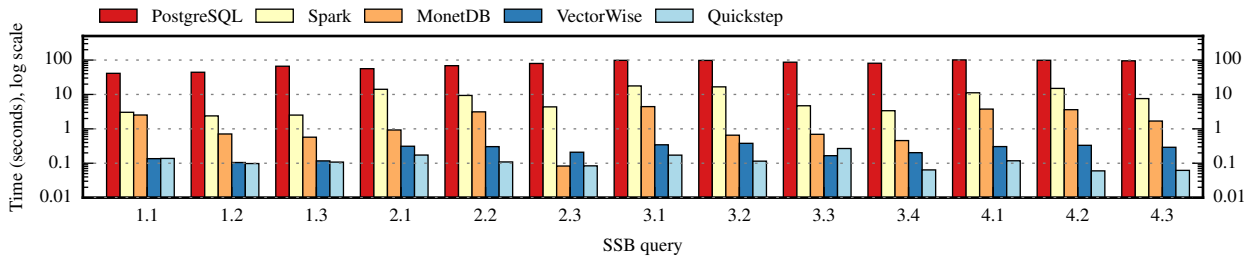


Figure 7: Comparison with denormalized SSB, scale factor 50.

On the other hand, Quickstep significantly outperforms VectorWise (at least 50% speedup) in 10 of the 22 queries. Across the board, the use of LIP and exact filters improves Quickstep’s performance by about 2X. In particular, Quickstep’s 4X speedup over VectorWise in query 5 can be attributed to LIP (due to its deep join trees with highly selective predicates on build-side). Similarly, we attribute a speedup of 4.5X in query 11 to exact filters, since every one of the four hash joins in a naive query plan is eliminated using this technique. The combination of these features also explains about 2X speedups in queries 3 and 11. We also see a 4.5X speedup for query 6, which we have not been able to explain given that we only have access to the VectorWise binaries. Query 19 is 3X faster in Quickstep. This query benefits significantly from the partial predicate push-down technique (cf. Section 5.1). VectorWise appears to also do predicate pushdown [10], but its approach may not be as general as our approach.

For the remaining 9 queries, Quickstep and VectorWise have comparable running times.

We have also carried out similar experiments using the SSB benchmark; these results are reported in [47].

As noted above (cf. Section 6.3), Quickstep uses a hybrid database format with the fact table is stored in compressed column store format and the dimension tables in a row store format. For the TPC-H SF 100 dataset, we ran an experiment using a pure row store and pure compressed column store format for the *entire* database. The hybrid combination was 40% faster than the pure compressed column store case, and 3X faster than the pure row store case, illustrating the benefit of using a hybrid storage combination. We note that these results show smaller improvements for column stores over row stores compared to earlier comparisons, e.g. [2]; although this previous work has used indirect comparisons using the SSB benchmark and across two different systems.

6.5 Denormalizing for higher performance

In this experiment, we consider a technique that is sometimes used to speed up read-mostly data warehouses. The technique is denormalization, and data warehousing software product manuals often recommend considering this technique for read-mostly databases (e.g. [26, 39, 63]).

For this experiment, we use a specific schema-based denormalization technique that has been previously proposed [35]. This technique walks through the schema graph of the database, and converts all foreign-key primary-key “links” into an outer-join expression (to preserve NULL semantics). The resulting “flattened” table is called a WideTable, and it is essentially a denormalized view of the entire database. The columns in this WideTable are stored as column stores, and complex queries then become scans on this table.

An advantage of the WideTable-based denormalization is that it

is largely agnostic to the workload characteristics (it is a schema-based transformation). Thus, it is easier to use in practice than selected materialized view methods.

We note that every denormalization technique has the drawback of making updates and data loading more expensive. For example, loading the denormalized WideTable in Quickstep takes about 10X longer than loading the corresponding normalized database. Thus, this method is well-suited for very low update and/or append only environments.

For this experiment, we used the SSB dataset at scale factor 50. The raw denormalized dataset file is 128GB.

The results for this experiment are shown in Figure 7. The total time to run all thirteen queries is 1.6s, 3.2s, 23.2s, 1,014s, and 111.9s across Quickstep, VectorWise, MonetDB, PostgreSQL and Spark respectively. Quickstep’s advantage over MonetDB now increases to over an order-of-magnitude (14X) across most queries. MonetDB struggles with the WideTable that has 58 attributes. MonetDB uses a BAT file format, in which it stores the pair (attribute and object-id) for each column. In contrast, Quickstep’s block-based storage design does not have the overhead of storing the object-id/tuple-id for each attribute (and for each tuple). The disk footprint of the database file is only 42 GB for Quickstep while it is 99 GB for MonetDB. Tables with such large schemas hurt MonetDB, while Quickstep’s storage design allows it to easily deal with such schemas. Since queries now do not require joins (they become scans on the WideTable), Quickstep sees a significant increase in performance. Quickstep is also about 2X faster than VectorWise, likely because of similar reasons as that for MonetDB. To the best of our knowledge, the internal details about VectorWise’s implementation have not been described publicly, but they likely inherit aspects of MonetDB’s design, since the database disk footprint is 63 GB.

Quickstep’s speedup over the other systems also continues when working with tables with a large number of attributes. Compared to Spark and PostgreSQL, Quickstep is 70X and 640X faster. Notice that compared to the other systems, PostgreSQL has only a pure row-store implementation, which hurts it significantly when working with tables with a large number of attributes.

6.6 Impact of Row Store vs. Column Store

As described in Section 3, Quickstep supports both row store and column store formats. In this experiment, we use the multiple storage format feature in Quickstep to study the impact of different storage layouts, and specifically we compare a row-store versus a column-store layout. A notable example of such comparison is the work by Abadi et al. [2], in which the SSB benchmark was used to study this aspect, but across two *different* systems – one that was a row-store system and the other was a column-store (C-store) [62]. In this experiment, we also use the SSB benchmark, but we use a

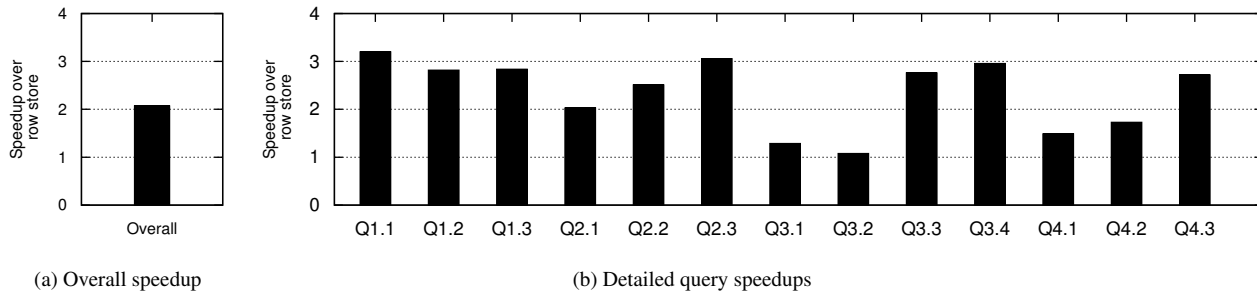


Figure 8: Impact of storage format on performance for SSB scale factor 100

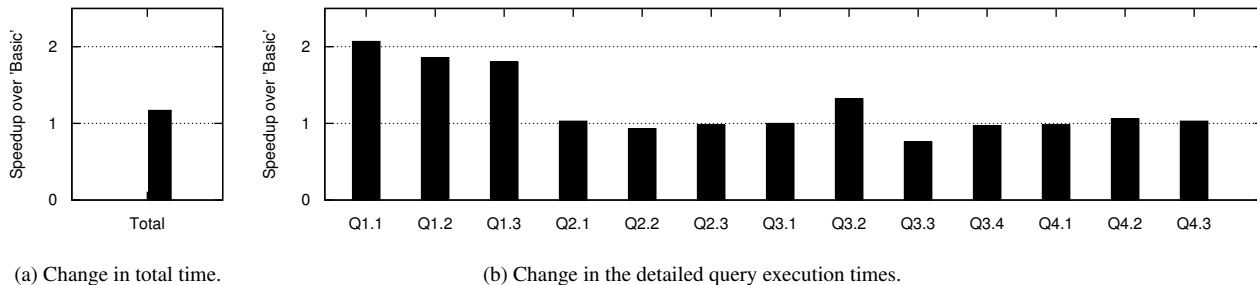


Figure 9: Impact of template metaprogramming.

100 scale factor dataset (instead of the 10 scale factor database that was used in [2]).

In Figure 8, we show the speedup of the (default) column store compared to the row store format.

The use of a column store format leads, unsurprisingly, to higher performance over using a row store format. The simpler Q1.Y queries show far bigger improvements as the input table scan is a bigger proportion of the query execution time. The other queries spend a larger fraction of their time on joins, and passing tuples between the join operations. Consequently, switching to a column store has a less dramatic improvement in performance for these queries.

An interesting note is that the impact of column stores here is smaller than previous comparisons [2] which have compared these approaches across two different systems and showed about a 6X improvement for column-stores. Overall, we see a 2X improvement for column-stores, which is lower than these previous results.

We have also experimented with compressed column-stores in this same setting, and find that they are slower than non-compressed column stores. Compressed column stores are still faster than row store by about 50% overall. But compression adds run-time CPU overhead which reduces its overall performance compared to regular column stores. (In the interest of space we do not present the detailed results.)

The results for TPC-H are similar, and omitted in the interest of space.

6.7 Template Metaprogramming Impact

Next, we toggle the use of the template metaprogramming (see Section 3.3.1), using the SSB 100 scale factor dataset. Specifically, we change the compile time flag that determines whether the `ValueAccessor` is constructed by copying attributes (Basic) or

by providing an indirection (Selection). The results for this experiment are shown in Figure 9.

The overall performance impact of eliminating the copy during predicate evaluation is about 20%. As with the previous experiment, the benefits are larger for the simpler Q1.X queries and lower for the other queries that tend to spend most of their time on join operations and in the pipelines in passing tuples between different join operations.

The result for this experiment with TPC-H show far smaller improvements (see Figure 2 for a typical example), as the TPC-H queries spend a far smaller fraction on their overall time on expression evaluation (compared to the SSB queries).

6.8 Impact of Optimization Techniques

We described the novel optimization techniques in Quickstep optimizer in Section 5. In this experiment, we measure the impact of these techniques individually, viz. LIP and join to semi-join transformation.

As with the previous two sections, we use the SSB 100 scale factor dataset. (The results for the TPC-H dataset is similar.) Figure 10 shows the impact of these techniques over a baseline in which neither of these techniques are used. As shown in Figure 10, these techniques together provide a nearly 2X speedup for the entire benchmark. The LIP and semi-join transformation techniques individually provide about 50% and 20% speedup respectively. While some queries do see a slowdown due to the individual techniques, the application of both techniques together always gives some speedup. In fact, of the 13 queries in the benchmark, 8 queries see at least a 50% speedup and three queries see at least 2X speedup. The largest speedups are in the most complex queries (group 4), where we see an overall speedup of more than 3X.

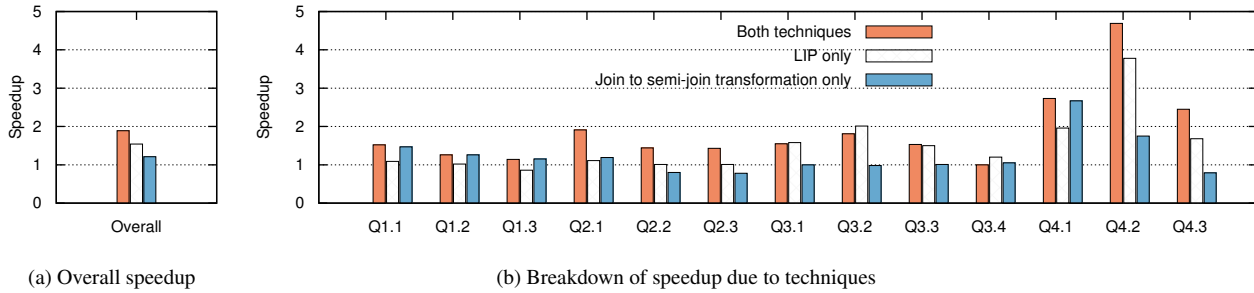


Figure 10: Impact of Exact Filter and LIP using SSB at scale factor 100.

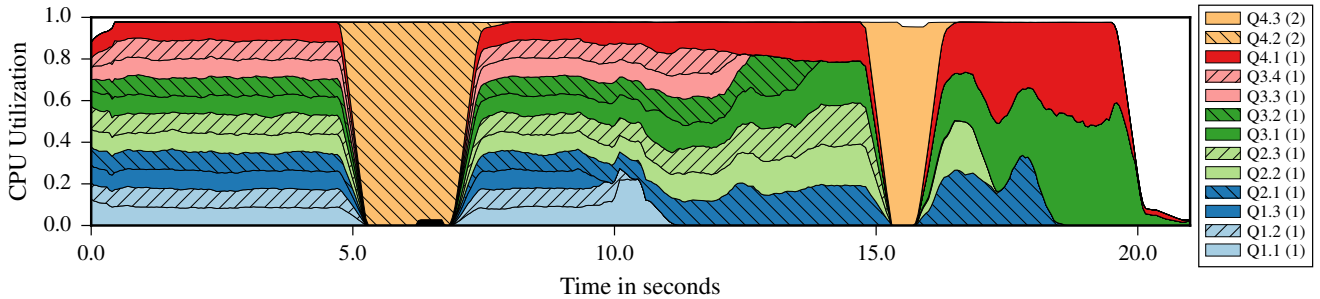


Figure 11: Prioritized query execution. QX.Y(1) indicates that Query X.Y has a priority 1. Q4.2 and Q4.3 have higher priority (2) than the other queries (1).

These results validate the usefulness of these techniques on typical workloads. Further, their simplicity of implementation and general applicability leads us to believe that these techniques should be more widely used in other database systems.

6.9 Elasticity

In this experiment, we evaluate Quickstep’s ability to quickly change the degree of inter-query parallelism, driven by the design of its work-order based scheduling approach (cf. Section 4.2). For this experiment, we use the 100 scale factor SSB dataset. The experiment starts by concurrently issuing the first 11 queries from the SSB benchmark (i.e. Q1.1 to Q4.1), against an instance of Quickstep that has just been spun up (i.e. it has an empty/cold database buffer pool). All these queries are tagged with equal priority, so the Quickstep scheduler aims to provide an equal share of the resources to each of these queries. While the concurrent execution of these 11 queries is in progress, two high priority queries enter the system at two different time points. The results for this experiment are shown in Figure 11. In this figure, the y-axis shows the fraction of CPU resources that are used by each query, which is measured as the fraction of the overall CPU cycles utilized by the query.

Notice in Figure 11, at around the 5 second mark when the high priority query Q4.2 arrives, the Quickstep scheduler quickly stops scheduling work orders from the lower priority queries and allocates all the CPU resources to the high-priority query Q4.2. As the execution of Q4.2 completes, other queries simply resume their execution.

Another high priority query (Q4.3) enters the system at around 15 seconds. Once again, the scheduler dedicates all the CPU resources to Q4.3 and pauses the lower priority queries. At around 17

seconds, as the execution of query Q4.3 completes, the scheduler resumes the scheduling of work orders from all remaining active lower priority queries.

This experiment highlights two important features of the Quickstep scheduler. First, it can dynamically and quickly adapt its scheduling strategies. Second, the Quickstep scheduler can naturally support query suspension (without requiring complex operator code such as [15]), which is an important concern for managing resources in actual deployments.

6.10 Built-in Query Progress Monitoring

An interesting aspect of using a work-order based scheduler (described in Section 4.2) is that the state of the scheduler can easily be used to monitor the status of a query, without requiring any changes to the operator code. Thus, there is a generic in-built mechanism to monitor the progress of queries.

Quickstep can output the progress of the query as viewed by the scheduler, and this information can be visualized. As an example, Figure 12 shows the progress of a query with three join operations, one aggregation, and one sort operation.

7. RELATED WORK

We have noted related work throughout the presentation of this paper, and we highlight some of the key areas of overlapping research here.

There is tremendous interest in the area of main-memory databases and a number of systems have been developed, including [3, 4, 9, 19, 30, 32, 45, 53, 66, 71]. While similar in motivation, our work employs a unique block-based architecture for storage and query processing, as well as fast query processing techniques for in-memory

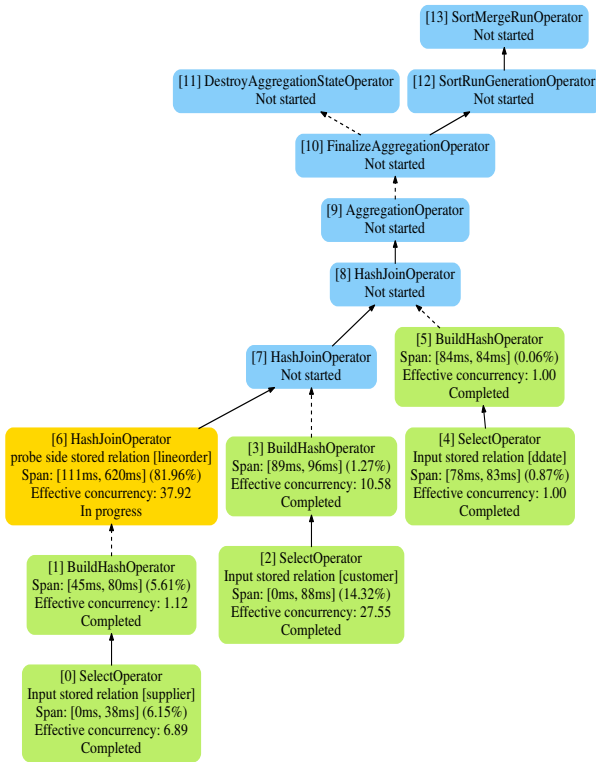


Figure 12: Query progress status. Green nodes (0-5) indicate work that is completed, the yellow node (6) corresponds to operators whose work-orders are currently being executed, and the blue nodes (7-13) show the work that has yet to be started.

processing. The combination of these techniques not only leads to high performance, but also gives rise to interesting properties in this end-to-end system, such as elasticity (as shown in Section 6.9).

Our vectorized execution on blocks has similarity to the work on columnar execution methods, including recent proposals such as [1, 20, 29, 34, 51, 54, 64, 65, 69]. Quickstep’s template metaprogramming-based approach relies on compiler optimizations to make automatic use of SIMD instructions. Our method is complementary to run-time code generation (such as [1, 4, 20, 25, 29, 40, 41, 48, 51, 54, 64, 65, 69]). Our template metaprogramming-based approach uses static (compile-time) generation of the appropriate code for processing tuples in each block. This approach eliminates the per-query run-time code generation cost, which can be expensive for short-running queries. An interesting direction for future work is to consider combining these two approaches.

The design of Quickstep’s storage blocks has similarities to the tablets in Google’s BigTable [12]. However, tablets’ primary purpose is to serve sorted key-value store applications whereas Quickstep’s storage blocks adhere to a relational data model allowing for optimization such as efficient expression evaluation (cf. Section 3.3).

Our use of a block-based storage design naturally leads to a block-based scheduling method for query processing, and this connection has been made by Chasseur et al. [13] and Leis et al. [33]. In this work, we build on these ideas. We also leverage these ideas to allow for desirable properties, such as dynamic elastic behavior (cf. Section 6.9).

Philosophically, the block-based scheduling that we use is similar to the MapReduce style query execution [16]. A key difference between the two approaches is that there is no notion of pipelining in the original MapReduce framework, however Quickstep allows for pipelined parallelism. Further, in Quickstep common data structures (e.g. an aggregate hash table) can be shared across different tasks that belong to the same operator.

The exact filters build on the rich history of semi-join optimization dating back at least to Bernstein and Chiu [6]. The LIP technique presented in Section 5.3 also draws on similar ideas, and is described in greater detail in [70].

Achieving *robustness* in query processing is a goal for many database systems [22]. Quickstep uses the LIP technique to achieve robust performance for star-schema queries. We formally define the notion of robustness and prove the robustness guarantees provided by Quickstep. VectorWise uses micro-adaptivity technique [52] for robustness, but their focus is largely on simpler scan operations.

Overall, we articulate the growing need for the scaling-up approach, and present the design of Quickstep that is designed for a very high-level of intra-operator parallelism to address this need. We also present a set of related query processing and optimization methods. Collectively our methods achieve high performance on modern multi-core multi-socket machines for in-memory settings.

8. CONCLUSIONS & FUTURE WORK

Compute and memory densities inside individual servers continue to grow at an astonishing pace. Thus, there is a clear need to complement the emphasis on “scaling-out” with an approach to “scaling-up” to exploit the full potential of parallelism that is packed inside individual servers.

This paper has presented the design and implementation of Quickstep that emphasizes a scaling-up approach. Quickstep currently targets in-memory analytic workloads that run on servers with multiple processors, each with multiple cores. Quickstep uses a novel independent block-based storage organization, a task-based method for executing queries, a template metaprogramming mechanism to generate efficient code statically at compile-time, and optimizations for predicate push-down and join processing. We also present end-to-end evaluations comparing the performance of Quickstep and a number of other contemporary systems. Our results show that Quickstep delivers high performance, and in some cases is faster than some of the existing systems by over an order-of-magnitude.

Aiming for higher performance is a never-ending goal, and there are a number of additional opportunities to achieve even higher performance in Quickstep. Some of these opportunities include operator sharing, fusing operators in a pipeline, improvements in individual operator algorithms, dynamic code generation, and exploring the use of adaptive indexing/storage techniques. We plan on exploring these issues as part of future work. We also plan on building a distributed version of Quickstep.

9. ACKNOWLEDGMENTS

This work was supported in part by the National Science Foundation under grants IIS-0963993, IIS-1110948, and IIS-1250886, and by gift donations from Google, Huawei and Pivotal. A project like Quickstep would not have been possible without the support and contributions from many people over the years. In this regard, we would especially like to thank Shoban Chandrabose, Craig Chasseur, Julian Hyde, Rogers Jeffrey Leo John, Yinan Li, Adalbert Gerald Soosai Raj, Vaishnavi Sashikanth, Rathijit Sen, Gavin Sherry, Shivakumar Venkataraman and Qiang Zeng.

10. REFERENCES

- [1] D. J. Abadi, S. Madden, and M. Ferreira. Integrating compression and execution in column-oriented database systems. In *SIGMOD*, pages 671–682, 2006.
- [2] D. J. Abadi, S. Madden, and N. Hachem. Column-stores vs. row-stores: how different are they really? In *SIGMOD*, pages 967–980, 2008.
- [3] L. Abraham, J. Allen, O. Barykin, V. R. Borkar, B. Chopra, C. Gerea, D. Merl, J. Metzler, D. Reiss, S. Subramanian, J. L. Wiener, and O. Zed. Scuba: Diving into data at facebook. *PVLDB*, 6(11):1057–1067, 2013.
- [4] M. Armbrust, R. S. Xin, C. Lian, Y. Huai, D. Liu, J. K. Bradley, X. Meng, T. Kaftan, M. J. Franklin, A. Ghodsi, and M. Zaharia. Spark SQL: relational data processing in spark. In *SIGMOD*, pages 1383–1394, 2015.
- [5] C. Beeri and R. Ramakrishnan. On the power of magic. In *PODS*, pages 269–284, 1987.
- [6] P. A. Bernstein and D.-M. W. Chiu. Using semi-joins to solve relational queries. *J. ACM*, 28(1):25–40, Jan. 1981.
- [7] S. Blanas, Y. Li, and J. M. Patel. Design and evaluation of main memory hash join algorithms for multi-core cpus. In *SIGMOD*, pages 37–48, 2011.
- [8] B. H. Bloom. Space/time trade-offs in hash coding with allowable errors. *CACM*, 13:422–426, 1970.
- [9] P. A. Boncz, M. L. Kersten, and S. Manegold. Breaking the memory wall in MonetDB. *Commun. ACM*, 51(12):77–85, 2008.
- [10] P. A. Boncz, T. Neumann, and O. Erling. TPC-H analyzed: Hidden messages and lessons learned from an influential benchmark. In *5th TPC Technology Conference, TPCTC*, pages 61–76, 2013.
- [11] P. Bonnet, S. Manegold, M. Bjørling, W. Cao, J. Gonzalez, J. A. Granados, N. Hall, S. Idreos, M. Ivanova, R. Johnson, D. Koop, T. Kraska, R. Müller, D. Olteanu, P. Papotti, C. Reilly, D. Tsirogiannis, C. Yu, J. Freire, and D. E. Shasha. Repeatability and workability evaluation of SIGMOD 2011. *SIGMOD Record*, 40(2):45–48, 2011.
- [12] F. Chang, J. Dean, S. Ghemawat, W. C. Hsieh, D. A. Wallach, M. Burrows, T. Chandra, A. Fikes, and R. Gruber. Bigtable: A distributed storage system for structured data. *OSDI*, pages 205–218, 2006.
- [13] C. Chasseur and J. M. Patel. Design and evaluation of storage organizations for read-optimized main memory databases. *PVLDB*, 6(13):1474–1485, 2013.
- [14] Citus Data. <https://www.citusdata.com>, 2016.
- [15] D. L. Davison and G. Graefe. Memory-contention responsive hash joins. In *Vldb*, 1994.
- [16] J. Dean and S. Ghemawat. Mapreduce: Simplified data processing on large clusters. *OSDI*, pages 10–10, 2004.
- [17] H. Deshmukh, H. Memisoglu, and J. M. Patel. Adaptive concurrent query execution framework for an analytical in-memory database system. *IEEE BigData Congress*, 2017.
- [18] J. Fan, A. G. S. Raj, and J. M. Patel. The case against specialized graph analytics engines. In *CIDR*, 2015.
- [19] F. Färber, N. May, W. Lehner, P. Große, I. Müller, H. Rauhe, and J. Dees. The SAP HANA database – an architecture overview. *IEEE Data Eng. Bull.*, 35(1):28–33, 2012.
- [20] Z. Feng, E. Lo, B. Kao, and W. Xu. Byteslice: Pushing the envelop of main memory data processing with a new storage layout. In *SIGMOD*, pages 31–46, 2015.
- [21] G. Graefe. Encapsulation of parallelism in the volcano query processing system. In *SIGMOD*, pages 102–111, 1990.
- [22] G. Graefe, A. C. König, H. A. Kuno, V. Markl, and K.-U. Sattler. 10381 Summary and Abstracts Collection – Robust Query Processing. Dagstuhl Seminar Proceedings, Dagstuhl, Germany, 2011.
- [23] Greenplum database. <http://greenplum.org>, 2016.
- [24] Harshad Deshmukh. Storage Formats in Quickstep. <http://quickstep.incubator.apache.org/guides/2017/03/30/storage-formats-quickstep.html>, 2017.
- [25] J. M. Hellerstein, M. Stonebraker, and J. R. Hamilton. Architecture of a database system. *Foundations and Trends in Databases*, 1(2):141–259, 2007.
- [26] IBM Corp. Database design with denormalization. <http://ibm.co/2eKwMw1>.
- [27] S. Idreos, F. Groffen, N. Nes, S. Manegold, K. S. Mullender, and M. L. Kersten. MonetDB: Two decades of research in column-oriented database architectures. *IEEE Data Eng. Bull.*, 35(1):40–45, 2012.
- [28] Z. G. Ives and N. E. Taylor. Sideways information passing for push-style query processing. In *ICDE*, pages 774–783, 2008.
- [29] R. Johnson, V. Raman, R. Sidle, and G. Swart. Row-wise parallel predicate evaluation. *PVLDB*, 1(1):622–634, 2008.
- [30] A. Kemper and T. Neumann. HyPer: A hybrid OLTP&OLAP main memory database system based on virtual memory snapshots. In *ICDE*, pages 195–206, 2011.
- [31] B. W. Lampson and H. E. Sturgis. Reflections on an operating system design. *Commun. ACM*, 1976.
- [32] P. Larson, C. Clinciu, C. Fraser, E. N. Hanson, M. Mokhtar, M. Nowakiewicz, V. Papadimos, S. L. Price, S. Rangarajan, R. Rusanu, and M. Saubhasik. Enhancements to SQL server column stores. In *SIGMOD*, pages 1159–1168, 2013.
- [33] V. Leis, P. A. Boncz, A. Kemper, and T. Neumann. Morsel-driven parallelism: a numa-aware query evaluation framework for the many-core age. In *SIGMOD*, pages 743–754, 2014.
- [34] Y. Li and J. M. Patel. Bitweaving: Fast scans for main memory data processing. In *SIGMOD*, pages 289–300, 2013.
- [35] Y. Li and J. M. Patel. WideTable: An accelerator for analytical data processing. *PVLDB*, 7(10):907–918, 2014.
- [36] S. Manegold, I. Manolescu, L. Afanasiev, J. Feng, G. Gou, M. Hadjieleftheriou, S. Harizopoulos, P. Kalnis, K. Karanasos, D. Laurent, M. Lupu, N. Onose, C. Ré, V. Sans, P. Senellart, T. Wu, and D. E. Shasha. Repeatability & workability evaluation of SIGMOD 2009. *SIGMOD Record*, 38(3):40–43, 2009.
- [37] I. Manolescu, L. Afanasiev, A. Arion, J. Dittrich, S. Manegold, N. Polyzotis, K. Schnaitter, P. Senellart, S. Zoupanos, and D. E. Shasha. The repeatability experiment of SIGMOD 2008. *SIGMOD Record*, 37(1):39–45, 2008.
- [38] Microsoft. Implied predicates and query hints. <https://blogs.msdn.microsoft.com/craigfr/2009/04/28/implied-predicates-and-query-hints/>, 2009.
- [39] Microsoft Corp. Optimizing the Database Design by Denormalizing. <https://msdn.microsoft.com/en-us/library/cc505841.aspx>.
- [40] F. Nagel, G. M. Bierman, and S. D. Viglas. Code generation for efficient query processing in managed runtimes. *PVLDB*, 7(12):1095–1106, 2014.
- [41] T. Neumann. Efficiently compiling efficient query plans for modern hardware. *PVLDB*, 4(9):539–550, 2011.

- [42] E. J. O’Neil, P. E. O’Neil, and G. Weikum. An optimality proof of the lru- K page replacement algorithm. *J. ACM*, 46(1):92–112, 1999.
- [43] P. O’Neil, E. O’Neil, and X. Chen. The star schema benchmark. <http://www.cs.umb.edu/~poneil/StarSchemaB.pdf>, Jan 2007.
- [44] Oracle. Push-down part 2. <https://blogs.oracle.com/in-memory/push-down:-part-2>, 2015.
- [45] Oracle. White paper. <http://www.oracle.com/technetwork/database/in-memory/overview/twp-oracle-database-in-memory-2245633.pdf>, 2017.
- [46] Pamela Vagata and Kevin Wilfong. Scaling the Facebook data warehouse to 300 PB. <https://code.facebook.com/posts/229861827208629>, 2014.
- [47] J. M. Patel, H. Deshmukh, J. Zhu, H. Memisoglu, N. Potti, S. Saurabh, M. Spehlmann, and Z. Zhang. Quickstep: A data platform based on the scaling-in approach. Technical Report 1847, University of Wisconsin-Madison, 2017.
- [48] H. Pirk, O. Moll, M. Zaharia, and S. Madden. Voodoo - A vector algebra for portable database performance on modern hardware. *PVLDB*, 9(14):1707–1718, 2016.
- [49] PostgreSQL. <http://www.postgresql.org>, 2016.
- [50] PostgreSQL. Parallel Query. https://wiki.postgresql.org/wiki/Parallel_Query.
- [51] L. Qiao, V. Raman, F. Reiss, P. J. Haas, and G. M. Lohman. Main-memory scan sharing for multi-core cpus. *PVLDB*, 1(1):610–621, 2008.
- [52] B. Raducanu, P. A. Boncz, and M. Zukowski. Micro adaptivity in vectorwise. In *SIGMOD*, pages 1231–1242, 2013.
- [53] V. Raman, G. K. Attaluri, R. Barber, N. Chainani, D. Kalmuk, V. KulandaiSamy, J. Leenstra, S. Lightstone, S. Liu, G. M. Lohman, T. Malkemus, R. Müller, I. Pandis, B. Schiefer, D. Sharpe, R. Sidle, A. J. Storm, and L. Zhang. DB2 with BLU acceleration: So much more than just a column store. *PVLDB*, 6(11):1080–1091, 2013.
- [54] V. Raman, G. Swart, L. Qiao, F. Reiss, V. Dialani, D. Kossmann, I. Narang, and R. Sidle. Constant-time query processing. In *ICDE*, pages 60–69, 2008.
- [55] Amazon Redshift. <https://aws.amazon.com/redshift/>, 2016.
- [56] Reynold Xin. Technical Preview of Apache Spark 2.0. <https://databricks.com/blog/2016/05/11>.
- [57] R. Ricci, E. Eide, and The CloudLab Team. Introducing CloudLab: Scientific infrastructure for advancing cloud architectures and applications. *USENIX ;login.*, 39(6), Dec. 2014.
- [58] L. Shrinivas, S. Bodagala, R. Varadarajan, A. Cary, V. Bharathan, and C. Bear. Materialization strategies in the vertica analytic database: Lessons learned. In *ICDE*, pages 1196–1207. IEEE, 2013.
- [59] K. Shvachko, H. Kuang, S. Radia, and R. Chansler. The hadoop distributed file system. In *26th Symposium on Mass Storage Systems and Technologies (MSST)*, MSST ’10, pages 1–10, Washington, DC, USA, 2010. IEEE Computer Society.
- [60] Standard Performance Evaluation Corporation. INT2006 (Integer Component of SPEC CPU2006). <https://www.spec.org/cpu2006/CINT2006>, 2016.
- [61] Statistic Brain Research Institute. Google Annual Search Statistics. <http://www.statisticbrain.com/google-searches>, 2016.
- [62] M. Stonebraker, D. J. Abadi, A. Batkin, X. Chen, M. Cherniack, M. Ferreira, E. Lau, A. Lin, S. Madden, E. J. O’Neil, P. E. O’Neil, A. Rasin, N. Tran, and S. B. Zdonik. C-store: A column-oriented DBMS. In *VLDB*, pages 553–564, 2005.
- [63] Sybase Inc. Denormalizing Tables and Columns. <http://infocenter.sybase.com>.
- [64] T. Willhalm, I. Oukid, I. Müller, and F. Faerber. Vectorizing database column scans with complex predicates. In *ADMS*, pages 1–12, 2013.
- [65] T. Willhalm, N. Popovici, Y. Boshmaf, H. Plattner, A. Zeier, and J. Schaffner. SIMD-scan: Ultra fast in-memory table scan using on-chip vector processing units. *PVLDB*, 2(1):385–394, 2009.
- [66] R. S. Xin, J. Rosen, M. Zaharia, M. J. Franklin, S. Shenker, and I. Stoica. Shark: SQL and rich analytics at scale. In *SIGMOD*, pages 13–24, 2013.
- [67] M. Zaharia, M. Chowdhury, T. Das, A. Dave, J. Ma, M. McCauly, M. J. Franklin, S. Shenker, and I. Stoica. Resilient distributed datasets: A fault-tolerant abstraction for in-memory cluster computing. In *USENIX*, pages 15–28, 2012.
- [68] Q. Zeng, J. M. Patel, and D. Page. Quickfoil: Scalable inductive logic programming. *PVLDB*, 8(3):197–208, 2014.
- [69] J. Zhou and K. A. Ross. Implementing database operations using SIMD instructions. In *SIGMOD*, pages 145–156, 2002.
- [70] J. Zhu, N. Potti, S. Saurabh, and J. M. Patel. Looking ahead makes query plans robust. *PVLDB*, 10(8):889–900, 2017.
- [71] M. Zukowski and P. A. Boncz. Vectorwise: Beyond column stores. *IEEE Data Eng. Bull.*, 35(1):21–27, 2012.